



Belle Haven Investments, L.P. - Business Continuity Disclosure Statement

Belle Haven Investments, L.P. (“Belle Haven”, or the “Firm”) has developed a Business Continuity Plan and Procedure (“BCP”) to define how the Firm will respond to events that could significantly disrupt its business operations. Given the unpredictable nature of the timing and impact of catastrophes, pandemics, and other significant business disruptions, the Firm remains adaptable in its approach to handling such events. Below, the Firm outlines the Business Continuity Plan and objectives.

CONTACTING BELLE HAVEN

Belle Haven is located at 800 Westchester Avenue, Suite N607, Rye Brook, NY 10573. The Firm’s main telephone number is (914) 816-4633. In the event of a significant business disruption where you are unable to reach Belle Haven using the main telephone number, you are encouraged to contact the Firm’s alternative number of (914) 816-4622. Additionally, you may visit the Firm’s website at bellehaven.com for further information. If access to the Firm remains unavailable through these channels, please contact our clearing firm, Pershing, LLC (“Pershing”), directly at (201) 413-3333, or visit their website, pershing.com. You may also reach out to the custodian where your account is held for guidance regarding prompt access to your funds, securities, or other account-related inquiries.

BUSINESS CONTINUITY PLAN SUMMARY

The Firm’s BCP is structured to ensure that business operations can resume as quickly as possible, given the scale and severity of any disruption. The BCP outlines the Firm’s protocols for mitigating both internal and external disruptions, including data backup and recovery procedures, financial and operational assessments, identification of mission-critical systems, and alternative communication channels for clients, employees, and regulators. The BCP also provides contingency measures for relocating employees in the event of an office closure, while ensuring clients can promptly access their funds and securities should the Firm be unable to continue critical business operations.

For accounts held at Pershing, the Firm’s critical business service recovery time objective is four (4) hours or less, depending on the availability of external resources. This objective includes the restoration of operations, processing of existing transactions, and acceptance of new transactions and payments. Please note that orders and requests for funds and securities may experience delays during this recovery period. For clients with accounts not held at Pershing, we advise you to contact your custodian for details regarding their specific Business Continuity Plan.

PANDEMIC MITIGATION AND AIRBORNE INFECTIOUS DISEASE SUMMARY

Belle Haven has taken comprehensive measures to ensure the continuity of critical business functions during a global pandemic, such as COVID-19. The Firm has adopted specific Pandemic Mitigation and Airborne Infectious Disease Prevention Procedures in addition to its Business Continuity Plan to address potential impacts arising from highly contagious airborne infectious diseases, designated as such by the New York State Department of Health. The health, safety, and well-being of Belle Haven’s



employees and clients remain the Firm's top priority. Belle Haven is committed to staying informed and prepared, following the guidance of health experts and local and state authorities to ensure that best practices are followed. In the event of an airborne infectious disease outbreak, the Firm will adapt its protocols as necessary in accordance with available guidance.

VARYING DISRUPTIONS

Business disruptions can vary significantly in scope, location, and impact. Disruptions may be internal, such as a network outage, or external, such as a global pandemic, terrorist attack, or regional natural disaster. The severity of these disruptions can range from minimal to catastrophic.

In the event of a localized disruption affecting Belle Haven, the Firm will transfer its operations to a local site, with the expectation of recovery and resumption of business operations within four (4) hours. In the case of disruptions affecting the Firm's location or region, operations will be moved to an alternate site outside the affected area, and recovery will occur within the same timeframe. During such disruptions, the Firm will continue to conduct operations to the best of its ability and may transfer specific operations to its clearing firm, Pershing, LLC. Communication with clients will occur through all available channels to provide updates on business continuity procedures. If a disruption is severe enough to prevent the Firm from continuing operations, Belle Haven will ensure clients have prompt access to their funds and securities.

SUMMARY

Belle Haven's Business Continuity Plan and Procedure is reviewed and tested annually to validate its effectiveness in responding to potential disruptions. These tests assess the Firm's ability to mitigate real-world events that could disrupt business operations. Belle Haven is committed to remaining responsive to all client needs during any period of disruption.

FOR MORE INFORMATION

For questions about our Business Continuity Plan please contact us at (914) 816-4633 or email us at compliance@bellehaven.com.