

IV. TRANSFERS DUE TO DEATH AND DIVORCE

- TRANSFER DUE TO DIVORCE
- TRANSFER DUE TO DEATH OF THE ACCOUNT HOLDER (Accounts with multiple primary beneficiaries must establish an inherited account for each beneficiary using his or her name and Social Security Number. Only spousal beneficiaries are allowed to treat the IRA as if it were their own and transfer it, in their name, to a Traditional Participant IRA.)

CASH: \$ _____; and/or SECURITIES: DESCRIPTION _____ QUANTITY _____
 DESCRIPTION _____ QUANTITY _____

(If more space is needed, attach a separate sheet and check the box below)
 PLEASE SEE ATTACHED SHEET FOR PROCESSING INSTRUCTIONS

V. PARTICIPANT SIGNATURE



SIGNATURE: _____ DATE: _____

PLEASE RETURN TO:
 Pershing LLC
 Attention: Retirement Products Department
 One Pershing Plaza
 Jersey City, New Jersey 07399